

AFOSR-TR-97-0448

REPORT DOCUMENTATION PAGE			Form Approved OMB No. 0704-0188	
<small>Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302, and to the Office of Management and Budget, Paperwork Reduction Project (0704-0188), Washington, DC 20503.</small>				
1. AGENCY USE ONLY (Leave blank)	2. REPORT DATE 8/26/97	3. REPORT TYPE AND DATES COVERED FINAL REPORT 01 Jul 93 - 30 Jun 97		
4. TITLE AND SUBTITLE Quantum Device Fabricant Based on High Resolution Patterning with Reactive Neutral Beams		5. FUNDING NUMBERS A538/00 61103D		
6. AUTHOR(S) Prof. Richard M. Osgood, Jr.				
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) Columbia University Columbia Radiation Laboratory 530 West 120th Street, Rm. 1001 New York, NY 10027		8. PERFORMING ORGANIZATION REPORT NUMBER		
9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES) AFOSR/NE 110 Duncan Avenue, Suite B115 Bolling AFB, Washington, DC 20332-001		10. SPONSORING/MONITORING AGENCY REPORT NUMBER F49620-93-1-0422		
11. SUPPLEMENTARY NOTES				
12a. DISTRIBUTION/AVAILABILITY STATEMENT APPROVED FOR PUBLIC RELEASE: DISTRIBUTION UNLIMITED		12b. DISTRIBUTION CODE		
13. ABSTRACT (Maximum 200 words) Research performed at Columbia University, in which etch-defined features were fabricated in multiple quantum well semiconductor material, is described. Photon-assisted neutral atom etching was demonstrated to produce damage-free features in GaAs-based quantum well material. The technique was applied in the fabrication of a single quantum well circular ring laser with low-loss etched sidewalls. The photoluminescence efficiency of magnetron reactive ion etched features was also investigated as a function of rf power and etching time. Low-temperature electron-beam enhanced etching and chemically assisted ion beam etching were characterized and applied to the fabrication of nanometer-sized features in III-V semiconductor material.				
14. SUBJECT TERMS quantum wells, GaAs, photoluminescence, ion beam etching		15. NUMBER OF PAGES 7		
		16. PRICE CODE		
17. SECURITY CLASSIFICATION OF REPORT	18. SECURITY CLASSIFICATION OF THIS PAGE	19. SECURITY CLASSIFICATION OF ABSTRACT	20. LIMITATION OF ABSTRACT	

CRL

Columbia University

**AUGMENTATION AWARDS FOR SCIENCE AND ENGINEERING
RESEARCH TRAINING (AASERT)**

FINAL REPORT

for Grant: F49620-93-1-0422

for the Period: 7/1/93-6/30/97

submitted to:

Air Force Office of Scientific Research

110 Duncan Avenue, Ste. B115

Bolling AFB, DC 20332-0001

submitted by:

Columbia Radiation Laboratory

Columbia University in the City of New York

530 West 120th St., Rm. 1001, MC8903

New York, NY 10027

prepared by:

Richard M. Osgood, Jr.

Columbia Radiation Laboratory

August 27, 1997

19971006 091

DTIC QUALITY INSPECTED 3

Table of Contents

1. Abstract	3
2. Summary of Results	4
2.1 Studies of Low-Damage Neutral Atom Etching	4
2.2 Damage Assessment Studies of Ion Beam Based Etching	5
3. Publications	5
4. Technical Reports Published	6
5. Inventions	6
6. Degrees Supported and Degrees Earned	6

ABSTRACT

Research performed at Columbia University, in which etch-defined features were fabricated in multiple quantum well semiconductor material, is described. Photon-assisted neutral atom etching was demonstrated to produce damage-free features in GaAs-based quantum well material. The technique was applied in the fabrication of a single quantum well circular ring laser with low-loss etched sidewalls. The photoluminescence efficiency of magnetron reactive ion etched features was also investigated as a function of rf power and etching time. Low-temperature electron-beam enhanced etching and chemically assisted ion beam etching were characterized and applied to the fabrication of nanometer-sized features in III-V semiconductor material.

1. STATEMENT OF PROBLEM STUDIED

The electronic and optical properties of ultrasmall semiconductor devices have a strong dependence on the sidewall quality of the fabricated features. The sidewall quality is usually degraded through damage caused by the bombardment of energetic particles during dry etching. The development of etching techniques which minimize sidewall damage is therefore necessary for the fabrication of ultrasmall semiconductor devices.

Several etching techniques were investigated in the fabrication of submicron-scaled structures in III-V based semiconductor material. Photon-assisted and electron beam-assisted neutral atom etching are low-damage techniques, which were used to fabricate features with negligible sidewall damage. Magnetron reactive ion etching (MIE) and chemically assisted ion beam etching (CAIBE) are techniques that utilize bombarding ions and were investigated for producing ultrasmall structures with minimal damage.

2. SUMMARY OF RESULTS

2.1 Studies of Low-Damage Neutral Atom Etching

A photon-assisted cryoetching process was developed, in which laser irradiation at 193 nm was used to dissociate physisorbed chlorine on a cryogenically cooled sample to react with the underlying substrate. To evaluate this technique's pattern transfer capability, GaAs samples were patterned by electron beam lithography with different masking materials. While Si_3N_4 masks were found to be inadequate for small-scale pattern transfer, Cr/Au and Ni masks were successfully used to fabricate structures down to 200 nm lateral size. Multiple quantum well material, consisting of GaAs/ $\text{Al}_{0.3}\text{Ga}_{0.7}\text{As}$ layers was also etched for a range of feature sizes. The photoluminescence efficiency of those structures was examined as a function of feature size and was found to be the same as for wet etched features. This indicates that the photon-assisted cryoetching process induces little or no damage to the feature sidewalls. The cryoetching technique was applied in the fabrication of an InGaAs single quantum well circular ring laser that emitted ~14 mW of single frequency output. The electron beam-assisted etching method, which also avoids heavy ion bombardment of the substrate, was characterized for GaAs samples as a function of temperature and beam current. Etching was demonstrated down to a temperature of -40

°C, at which isotropic, thermal etching of the chlorinated GaAs surface is suppressed and vertical sidewall profiles are obtained.

2.2 Damage Assessment Studies of Ion Beam Based Etching

Magnetron reactive ion etching was employed for the fabrication of submicron features in GaAs/Al_{0.3}Ga_{0.7}As multiple quantum well material. The MIE etching was performed by George McLane at the Army Research Laboratory at Ft. Monmouth, for feature sizes ranging between 250 and 2000 nm, and for various rf power densities and etch times. Luminescence spectroscopy carried out on the etched samples indicated that the features etched at higher power densities (and greater ion impact energies) displayed less severe surface damage than features etched using lower rf power. This result is attributed to a better defined directionality of the bombarding ions, and therefore fewer ion-sidewall collisions, at high energy. At lower power densities, the etching became less anisotropic and incurred greater damage to feature sidewalls. Therefore, by controlling the rf power, it is possible to "tune" the degree of damage to the etched structures. A study of the morphological properties of MIE-etched nanoscale (<100 nm) structures was also initiated with the utilization of carbon nanotube tipped atomic force microscopy for high sensitivity diagnostics. The CAIBE etching of ultrasmall features was carried out and characterized for GaSb substrates as a function of chlorine flow rate and ion beam current density. The masking material for the GaSb samples consisted of 60 nm thick Cr, patterned using a scanning electron microscope with an external control option that was adapted for electron beam writing. The resultant etched GaSb structures exhibited good sidewall verticality and morphological properties.

3. PUBLICATIONS

1. M. B. Freiler, M. C. Shih, R. Scarmozzino and R. M. Osgood Jr., "Excimer Laser Induced Cryoetching of GaAs and Related Materials," *Mat. Res. Soc. Symp. Proc.* **279**, 843-848 (1993).
2. M. C. Shih, M. B. Freiler, R. Scarmozzino and R. M. Osgood Jr., "Patterned, Photon-Driven Cryoetching of GaAs and AlGaAs," *J. Vac. Sci. Technol. B* **13**, 43 (1995).

3. M. C. Shih, M. Hu, M. B. Freiler, M. Levy, R. Scarmozzino and R. M. Osgood Jr., I.W. Tao, and W.I. Wang, "Fabrication of an InGaAs Single Quantum Well Circular Ring Laser by Direct Laser Patterning," Appl. Phys. Lett. 66, 2608 (1995).
4. J.-L. Lin, M. B. Freiler, M. Levy, D. Collins, T. C. McGill and R. M. Osgood Jr., "Photon-Assisted Cryoetching of III-V Binary Compounds by Cl₂ at 193 nm," Appl. Phys. Lett. 67, 3563 (1995).
5. M. B. Freiler, G. F. McLane, S. Kim, M. Levy, R. Scarmozzino, I.P. Herman and R. M. Osgood, Jr., "Luminescence Properties of Submicron Features Fabricated by Using Magnetron Reactive Ion Etching with Different Sample Biases," Appl. Phys. Lett. 67, 3883 (1995).
6. M. B. Freiler, M. C. Shih, S. Kim, M. Levy, I. P. Herman and R. Scarmozzino and R.M. Osgood, Jr., "Pattern Transfer and Photoluminescence Damage Assessment of Deep-Submicrometer Features Etched by Photon-Induced Cryoetching," Appl. Phys. A 63, 143 (1996).
7. L.-L. Chao, M. B. Freiler, M. Levy, J.-L. Lin, G. S. Cargill III, R. M. Osgood Jr., and G.F. McLane, "Cathodoluminescence Study of Diffusion Length and Surface Recombination Velocity in III-V Multiple Quantum Well Structures," Mat. Res. Soc. Symp. Proc. 406, 543 (1996).
8. E. Kim, G. Whitesides, M. B. Freiler, M. Levy, J.-L. Lin and R. M. Osgood Jr., "Fabrication of Micrometer-Scale Structures on GaAs and GaAs/AlGaAs Quantum Well Material Using Microcontact Printing," Nanotechnology 7, 266-269 (1996).
9. G. Nagy, R. Ahmad, M. Levy, and R.M. Osgood, Jr., "Chemically Assisted Ion Beam Etching of Submicron Features in GaSb," submitted to Appl. Phys. Lett.

4. TECHNICAL REPORTS PUBLISHED

None

5. INVENTIONS

None

6. PERSONNEL SUPPORTED AND DEGREES EARNED

Michael Freiler, Ph.D. earned

Peter Lasky, Ph.D. expected 1997